Exam 26 October 2012, 8:00–13:00, Sparta:A–B

EDAN55 Advanced Algorithms

The exam consists of 4 large questions; each consisting of a number of smaller subquestions.

- 1. The exam is "open book," so you can bring whatever material you want, including textbooks, a dictionary, and your own course notes.
- 2. You can bring an electronic calculator.
- 3. We try to minimise the dependencies among subquestions. In particular, you can solve them in any order. Also, you are free to *use* the result of subquestion *x* to answer subquestion *y*, even if you didn't answer *x*.
- 4. Scoring: Answering "I don't know" (and nothing else) scores $\frac{1}{4}$ of a subquestion's points. An empty or wrong answer scores 0 points.
- 5. You can answer in Swedish or English.

Some tips:

- 1. Shorter is better.
- 2. An example is better than a failed attempt at explaining something in general.
- Drawings, pseudocode, and formulas are good. "Wall of text" is bad.
- 4. Admit ignorance.
- 5. Be tidy.

Good luck!

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The *Max Tripartition* problem is defined as follows. Given an undirected graph G = (V, E), find a partition of V into three nonempty sets such that the number of edges (called the size of the cut) between them is maximised. Formally, a tripartition consist of three nonempty sets $A, B, C \subseteq V$ called *parts* such that $A \cap B = A \cap C = B \cap C = \emptyset$ and $A \cup B \cup C = V$. Define the the cutsize c as

 $c(A, B, C) = \left| \left\{ uv \in E \colon u \in A \land v \in B \lor u \in A \land v \in C \lor u \in B \land v \in C \right\} \right|.$

We want to find a tripartition of maximum cutsize.

▶ 1*a* (1 pt.) Find a maximum tripartition of the graph in fig. 1.¹

Consider the following simple algorithm for Max Tripartition. Let $V = \{v_1, ..., v_n\}$ and for vertex subset $S \subseteq V$ let $d(u, S) = |\{v \in S : uv \in E\}|$ denote the number of edges between u and S.

Initially, set $A = \{v_1\}$, $B = \{v_2\}$, and $C = \{v_3\}$. For every *i* with $4 \le i \le n$, if $d(v_i, A) \le d(v_i, B)$ and $d(v_i, A) \le d(v_i, C)$ then add v_i to A, else if $d(v_i, B) \le d(v_i, A)$ and $d(v_i, B) \le d(v_i, C)$ then add v_i to B, else add v_i to C.

- ▶ 1*b* (1 pt.) Run the algorithm on the graph in fig. 1. 2
- ► 1*c* (1 pt.) Give an example where the algorithm finds a cut of size only $\frac{2}{3}$ OPT. ³
- ► 1*d* (3 pts.) Show that the algorithm is guaranteed to find a cut of size at least $\frac{2}{3}$ OPT of the optimum. ⁴
- ▶ 1*e* (2 pts.) Prove that unless P equals NP, there cannot be an algorithm for Max Tripartion whose solution is at most a factor $(1 + \epsilon)$ below OPT and that runs in polynomial time for any choice of $\epsilon > 0$. You can freely use that Max Tripartition is NP-hard.⁵
- ► 1*f* (1 pt.) Modify the algorithm and analysis so that it works for the *Max k-Cut* problem, where the input consists of a graph and an integer *k*, and we want to find a partition into *k* parts, maximising the edges between them. (Max Tripartition is Max *k*-Cut for k = 3.)⁶
- ▶ 1*g* (1 pt.) Modify the algorithm and analysis for the *Max Dicut* problem, where the input consists of a *directed* graph, and we want to find a partition into 2 subsets *A* and *B* so as to maximise the total number of directed arcs *from A to B*. 7



Figure 1: An instance to Max Tripartition.

¹ Your answer is a drawing showing the tripartition and an integer (the corresponding cutsize).

² Your answer is the resulting sets *A* and *B* and *C* and the cutsize.

³ Your answer is a concrete graph, an optimum solution to that instance and the solution found by the algorithm.
⁴ Your answer is a short proof. It includes a lower bound on the solution found by the algorithm and an upper bound on the optimum solution.

⁵ You answer is a short proof.

⁶ You answer contains an algorithm and a brief analysis of its approximation guarantee; the most important part is to clearly state the approximation factor.

⁷ You answer contains an algorithm and a brief analysis of its approximation guarantee; the most important part is to clearly state the approximation factor

Question 2, Parameterized Analysis

Consider *n* points in the plane, such as in figure 2. We consider the problem of covering them with *k* lines. Formally,

Name: Covering Points With Lines

- *Input:* A set *S* of *n* points in the Euclidean plane $(x_1, y_1), \ldots, (x_n, y_n)$. Integer *k*.
- *Output:* A set of *k* lines covering the *n* points, or "impossible" if no such set exists.

Figure 2 also shows a line cover with k = 4 lines.

Throughout this exercise you can assume that it takes constant time to perform basic geometric operations such as constructing a line trough two points, or checking if a given point lies on a given line, or if 3 points are colinear. ("Colinear" means "you can draw a single line through them".)

- ► 2*a* (1 pt.) Find a line cover of size k = 3 for the set of points in fig. 2.⁸
- ▶2b (2 pts.) Write a simple exhaustive search (or "brute force") algorithm and give its running time in terms of *n* and *k*. Note that this is not completely trivial—there are infinitely many lines through *n* points, so you can't just say "Check all lines".⁹

We will write a better algorithm. The central observation is that if *S* contains a set of k + 1 colinear points or more, then the optimal solution is guaranteed to include the line through all of them.

▶ 2c (1 pt.) Why is this true?

- ► 2*d* (1 pt.) Give a counterexample that shows that this is not true for k colinear points.
- ► 2*e* (4 pts.) (Harder.) Write an algorithm based on the above observation, briefly argue for its correctness, and state its running time. (The running time must have the form $f(k) \cdot n^{O(1)}$ for some function *f*.)



Figure 2: Left: A set of 8 points in the plane. (In general, the points need not be at integer coordinates.) Right: 4 lines covering the points.

⁸ Your answer is a drawing showing the lines.

⁹ Your answer is some lines of pseudocode and a running time estimate using asymptotic notation.

We consider the Set Cover problem.

Name: Minimum Set Cover (MSC)

Input: A set *U* of *n* elements and a collection *C* of *n* subsets $S_1, \ldots, S_n \subseteq U$.

Output: A smallest subcollection that covers *U*, i.e., an index set $J \subseteq \{1, ..., n\}$ such that

$$\bigcup_{j \in J} S_j = U_j$$

with |J| minimal.

For instance, the graph in fig. 3 contains a (nonoptimal) set cover of size 5 given by $J = \{1, 4, 5, 7, 8\}$. (In other words, the union of the sets S_1 , S_4 , S_5 , S_7 , and S_8 contains U.)

- ▶ 3a (1 pt.) Find a minimum set cover for the instance in fig. 3.
- ► 3b (2 pt.) Explain very briefly how MSC can be solved using exhaustive search ("brute force") and state the resulting running time, ignoring polynomial factors.
- ▶ 3*c* (1 pt.) Explain very briefly why MSC can be solved in polynomial time if every subset $S_i \in C$ in the collection contains at most 2 elements.
- ▶ 3*d* (4 pts.) Construct a branching ("decrease-and-conquer") algorithm for MSC. You running time must be better than 2^n . Be precise about which branching rules you use; for example by writing the algorithm in some form of pseudocode. Briefly argue why each rule is valid. Give a recurrence relation for the running time of the resulting algorithm; read the solution to your recurrence off Table 1. *Hint:* Use two parameters, n = |U| and m = |C|, the number of subsets in the collection.



Figure 3: One way of visualising the set cover instance where $U = \{a, b, c, d, e, f, g, h\}$ and $S_1 = \{a, d, e, h\}, S_2 = \{a, b, c\},$ $S_3 = \{b, c, d\}, S_4 = \{c, e\}, S_5 = \{b, e\},$ $S_6 = \{d, e, f\}, S_7 = \{f\}$, and $S_8 = \{a, g, h\}.$

| | b | | | | |
|---|---|------|------|------|------|
| а | 1 | 2 | 3 | 4 | 5 |
| 1 | 2 | 1.62 | 1.47 | 1.39 | 1.33 |
| 2 | | 1.42 | 1.33 | 1.28 | 1.24 |
| 3 | | | 1.26 | 1.23 | 1.20 |
| 4 | | | | 1.19 | 1.17 |
| 5 | | | | | 1.15 |

Table 1: Running times for decreaseand-conquer recurrences of the form $f(N) \le f(N-a) + f(N-b)$ for small $a \le b$. For example, the Fibonacci recurrence F(N) = F(N-1) + F(N-2)satisfies $F(N) = O(1.62^N)$.

Question 4, Randomized Algorithms

We consider the well-known Vertex Cover problem.

Name: Minimum Vertex Cover

Input: A simple, undirected graph G = (V, E) with |V| = n, |E| = m.

Output: A minimum vertex cover, i.e., a vertex subset $S \subseteq V$ such that for every edge $uv \in E$, we have $u \in S$ or $v \in S$ (or both).

Consider the following randomized algorithm for this problem:

- For every vertex v, pick a priority p(v) uniformly at random from the interval [0, 1].
- 2. Let *S* be the set of vertices that have at least one neighbour of higher priority. Formally,

$$S = V - \{ v \colon p(v) \ge \max_{u \in N(v)} p(u) \},$$

where N(v) denotes the neighbours of v.

► 4*a* (1 pt.) Run the algorithm on the graph in fig. 4. Use the random values 0.345, 0.432, 0.165, 0.814, 0.74, 0.524 for $p(v_1), p(v_2), \dots$ ¹⁰

►4*b* (1 pt.) Assume vertex *v* has degree d(v). Find $Pr(v \in S)$. ¹¹

- ► 4*c* (1 pt.) Consider running the algorithm on the 2-vertex graph (u)—(v). Are the events $u \in S$ and $v \in S$ independent? Why or why not? ¹²
- ▶ 4*d* (1 pt.) Find the probability that S is a vertex cover.¹³
- ► 4*e* (2 pt.) Assume that *G* is *d*-regular (i.e., every vertex has degree exactly *d*). Find the expected size of the vertex cover computed by the algorithm. ¹⁴
- ►4*f* (1 pt.) Assume *G* is the *n*-cycle. (That is, $E = \{\{i, i+1\}: 1 \le i < n\} \cup \{n, 1\}$.) Find the expected approximation ratio of the algorithm. ¹⁵
- ►4*g* (1 pt.) Assume *G* is the *n*-star. (That is, $E = \{\{1, i\}: 2 \le i \le n\}$.) Find the probability that the algorithm computes a minimum vertex cover. ¹⁶
- ► 4*h* (1 pt.) Assume *G* is the *n*-star. Calculate the number of times that I need to repeat the algorithm before I have constant nonzero probability of finding a minimum vertex cover. ¹⁷



¹⁰ Your answer is a drawing showing *S*.

¹¹ Your answer is an expression and an argument for it.

¹² Your answer is the word "yes" or the word "no", followed by an argument. ¹³ This is not meant to be a trick question. But if you think your answer is weird, it's probably correct.

¹⁴ Your answer is an expression and an argument for it.

¹⁵ Your answer is an expression and an argument for it.

¹⁶ Your answer is an expression and an argument for it.

¹⁷ Your answer is an expression and an argument for it.