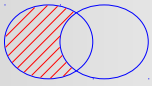


## EDAA40

### Discrete Structures in Computer Science



#### 1: Sets

$$R = \{x : x \notin x\}$$

Jörn W. Janneck, Dept. of Computer Science, Lund University

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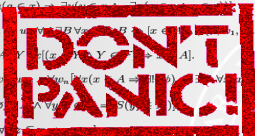
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#### axiomatic vs naïve set theory

##### Zermelo-Fraenkel Set Theory w/Choice (ZFC)

extensionality	$\forall x \forall y (\forall z (z \in x \leftrightarrow z \in y) \Rightarrow x = y)$ .
regularity	$\forall x [\exists a (x \in a) \Rightarrow \exists y (y \in x \wedge y \neq \emptyset)]$ .
specification	$\forall w_1, \dots, w_n \exists y \forall x (x \in y \leftrightarrow (x \in w_1 \vee \dots \vee x \in w_n))$ .
union	$\forall \mathcal{F} \exists Y \forall x (x \in Y \leftrightarrow \exists A \in \mathcal{F} (x \in A))$ .
replacement	$\forall A \forall w \forall v \forall \phi (x \in A \Rightarrow \exists! y (y = \phi(x))) \Rightarrow \exists Y \forall x (x \in A \Rightarrow \exists y (y \in B \wedge \phi))$ .
infinity	$\exists X (\emptyset \in X \wedge \forall y (y \in X \Rightarrow \exists z (z \in X \wedge z \neq y)))$ .
power set	$\forall x \exists y \forall z (z \in y \leftrightarrow z \subseteq x)$ .
choice	$\forall X [\emptyset \notin X \Rightarrow \exists f: X \rightarrow \bigcup X \quad \forall A \in X (f(A) \in A)]$ .



slides

This course will be about "naïve" set theory. However, at its end, you should be able to read and understand most of the above.

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#### sets: collections of stuff, empty set

{Sacramento, Albany, Austin, Salt Lake City, Springfield}

sets are collections of stuff

{red, green, blue}      {2, 3, 5, 7, 11, 13, 17}

{Marcus, 44, beige}      any kind of stuff

some sets are pretty large (we'll talk more about just *how* large later)

$\mathbb{N}^+ = \{1, 2, 3, 4, 5, 6, 7, \dots\}$

$\mathbb{N} = \{0, 1, 2, 3, 4, 5, 6, 7, \dots\}$

this is the empty set

$\{\} = \emptyset$

there is but one of those

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## element of

Given a set  $A$ , any given thing  $x$  either is, or is not, an *element of*  $A$ .  
 $x \in A$  or  $x \notin A$

$$3 \in \{1, 2, 3, 4\}$$

$$11 \notin \{1, 2, 3, 4\}$$

$$\{3\} \notin \{1, 2, 3, 4\}$$



$$0.9 \in \{1, 2, 3, 4\} \quad ?$$

elementhood depends on  
a concept of equality



$$\{2, 1\} \in \{\{1, 2\}, \{3, 4\}\} \quad ?$$

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## extensionality

A set is defined by the elements it contains (its *extension*).

$$\{a, b, c\} = \{c, b, a\} = \{a, a, b, c, b\}$$

order, repetition do not matter,  
they are just a matter of representation

$$\{a, b, c\} \neq \{a, b, c, d\}$$

equal sets must contain *exactly*  
the same elements



$$\{a, b, c\} \neq \{\{a, b, c\}\}$$
$$\emptyset \neq \{\emptyset\}$$
$$11 \neq \{11\}$$

1-element sets are *singleton* sets

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## cardinality

The number of elements in a set  $A$  is called its *cardinality*.

$$\#(A) \quad \#A \quad |A|$$

alternative syntax

$$\#(\emptyset) = 0$$

$$\#\{\emptyset\} = 1$$

$$\#\{a, b, c\} = 3$$

$$\#\{\{a, b, c\}\} = 1$$

For now, we will only consider the cardinality of **finite** sets.  
We will discuss infinite sets, including their cardinality, in more detail later.  
(Also, we haven't yet precisely defined these terms, "finite" and "infinite".)

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## inclusion

subset  $A \subseteq B$  superset  
this means that if  $x \in A$  then  $x \in B$

A and B might be the same, in fact  
 $A \subseteq B$  and  $B \subseteq A$  iff  $A = B$



"iff" is jargon for  
"if and only if", meaning both  
sides are logically equivalent

For any set A, it's always the case that  
 $\emptyset \subseteq A$  and  $A \subseteq A$

We use  $\subset$  to denote proper (or strict) inclusion:

$A \subset B$  iff  $A \subseteq B$  and  $A \neq B$

A and B are proper (or strict) subset  
and superset, respectively.



Sometimes,  $\subset$  is used to mean  $\subseteq$ .  
Here, we always use it to mean proper inclusion.

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## properties of inclusion

inclusion is transitive\*

$A \subseteq B$  and  $B \subseteq C$  implies  $A \subseteq C$

$\{2, 3, 5\} \subseteq \{2, 3, 4, 5\} \subseteq \mathbb{N}$  therefore  $\{2, 3, 5\} \subseteq \mathbb{N}$

inclusion is partial:\*

There are sets A and B for which neither  $A \subseteq B$  or  $B \subseteq A$  is true.



Example?

\* We will discuss transitivity and partiality more generally later

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## specifying sets

enumeration of its elements

$\{red, green, blue\}$

set builder notation / set comprehensions

flavor 1

$\{n \in \mathbb{N}^+ : n \text{ is prime}\}$   
 $\{n \in \mathbb{N}^+ \mid n \text{ is prime}\}$

flavor 2

$\{n : n \in \mathbb{N}^+, n \text{ is prime}\}$   
 $\{2n : n \in \mathbb{N}^+\}$

bad flavor

~~$\{x : x \neq x\}$   
 $\{x : x = x\}$~~

recursive definition

(we will discuss this later)

enumeration w/ suspension points/ellipsis

$\{1, 2, 3, 4, 5, \dots\}$



$12 \in \{2, 3, 5, 8, \dots\}$  ?

(informal stand-in for a recursive definition)

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### building sets, examples

$$A = \{2, 3, 5, 7, 11\}$$



$$B = \{x \in A : x \text{ odd}\}$$
$$B = \{3, 5, 7, 11\}$$



$$C = \{xy : x \in A, y \in B, y < x < 11\}$$
$$C = \{5 \cdot 3, 7 \cdot 3, 7 \cdot 5\} = \{15, 21, 35\}$$

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### not everything that looks like a set...

$$R = \{x : x \notin x\} \quad \text{Is } R \text{ an element of } R? \quad R \in R ?$$

Let's assume it is, i.e.  $R \in R$   
This means that  $R$  satisfies the property defining  $R$ , in other words:  
 $R \notin R$

Okay, obviously that can't be right. Clearly that means  $R$  cannot be an element of  $R$ , i.e.  $R \notin R$   
But, oy veh, that means  $R$  would satisfy the property defining it, and that implies, dangnabbit:  $R \in R$

This contradiction is known as *Russel's paradox*.  
Well, it's great it has a name. But what does it mean for whether  $R \in R$  ?

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### set building done right

So  $\{x : x \notin x\}$  isn't a well-defined set. What went wrong?

The trouble is with the variable,  $x$ . It can literally stand for anything.  
(And "anything" appears to include things that aren't sets.)

When using set builder notation, make sure the variables are limited to elements of a set you already know to be well-defined.

$$\{n : n \in \mathbb{N}^+, n \text{ is prime}\} \quad \{2n : n \in \mathbb{N}^+\}$$

$$\{n \in \mathbb{N}^+ : n \text{ is prime}\}$$

NB: This form also automatically implies a superset!

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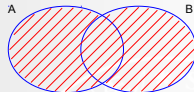
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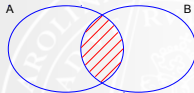
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## operations on sets

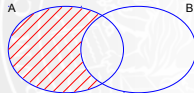
**union**  $A \cup B$   
all elements that are in A or B or both  
 $x \in A \cup B$  iff  $x \in A$  or  $x \in B$



**intersection**  $A \cap B$   
all elements that are both in A and B  
 $x \in A \cap B$  iff  $x \in A$  and  $x \in B$



**difference**  $A \setminus B$   
all elements that are in A and not in B  
 $x \in A \setminus B$  iff  $x \in A$  and  $x \notin B$



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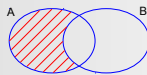
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## difference and complement

set difference



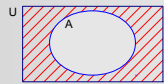
$A \setminus B$   
 $A - B$

There is in general no "inverse" set  $-A$  for a given set  $A$ .

However, often we work in a *local universe*,  
i.e. a set of everything we are potentially  
interested in. Let's call it  $U$ .



Examples of  $U$ ?  
Number theory?  
Programming languages?



Then we can give the complement of a set a meaning:

$$-A = U - A$$

alternative syntaxes:  $\neg U A$   $A^-$   $A'$   $A^c$

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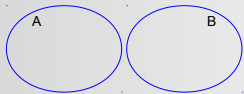
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## disjointness

Two sets  $A$  and  $B$  are *disjoint* if they do not have any common elements,  
i.e. their intersection is empty:  $A \cap B = \emptyset$



Note that every set  $A$  is disjoint from the empty set  $\emptyset$ .  
Even the empty set!

For multiple sets  $A_1, \dots, A_n$ , we say they are *pairwise disjoint* iff for any  
 $i, j$ , such that  $i \neq j$ ,  $A_i$  and  $A_j$  are disjoint, i.e.  $A_i \cap A_j = \emptyset$

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## set algebra

some properties of intersection, union, and set difference:

idempotence	$A \cup A = A \cap A = A$
commutativity	$A \cup B = B \cup A$
commutativity	$A \cap B = B \cap A$
associativity	$(A \cup B) \cup C = A \cup (B \cup C)$
associativity	$(A \cap B) \cap C = A \cap (B \cap C)$
	$A \cup \emptyset = A$
	$A \cap \emptyset = \emptyset$
	$A \supseteq A \cap B \subseteq B$
	$A \subseteq A \cup B \supseteq B$
distributivity	$A \cup (B \cap C) = (A \cup B) \cap (A \cup C)$
distributivity	$A \cap (B \cup C) = (A \cap B) \cup (A \cap C)$
	$A \cap B \subseteq A \cup B$
	$A \setminus A = \emptyset$
	$A \setminus \emptyset = A$
	$-(-B) = B$

(more in the exercises of 1.4.1, 1.4.2, and 1.4.3 in SLAM)

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## family matters

A family of sets is a way of referring to a set of sets, usually indexed by an index set.\*

index	index set
$\{A_i : i \in I\}$	$\{A_i\}_{i \in I}$
sets	alternative syntax

Examples:

$P = \{\text{Charlie, Linus, Lucy, Patty, Sally}\}$   
 $\{R_p : p \in P\}$  with  $R_{\text{Charlie}} = \{\text{Violet, LRHG, Peggy}\}$ ,  
 $R_{\text{Linus}} = \{\text{Sally, Mrs. Othmar, Lydia}\}$ ,  
 $R_{\text{Lucy}} = \{\text{Schroeder}\}$ ,  $R_{\text{Patty}} = \{\text{Charlie}\}$ ,  
 $R_{\text{Sally}} = \{\text{Linus}\}$



What is  $\{p \in P : q \in R_p, p \in R_q\}$

- (a) What is the extension?  
 (b) What does it mean?

\* We will come back to this notion in the lecture on functions.

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## large families

Often, the index set is something like the natural numbers:

$\{N_i : i \in \mathbb{N}\}$  with  $N_i = \{k \in \mathbb{N} : k \geq i\}$   
 $\{M_i : i \in \mathbb{N}\}$  with  $M_i = \{ik : k \in \mathbb{N}_2\}$   
 $\{D_i : i \in \mathbb{N}^+\}$  with  $D_i = \{d \in \mathbb{N}_2 : i \in M_d\}$



What are these sets?



What is  $\{p \in \mathbb{N}_2 : D_p = \emptyset\}$

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## generalized union & intersection

Let  $S$  be a set of sets.  $\bigcap S = \{x : x \in s \text{ for all } s \in S\}$   
 $\bigcup S = \{x : x \in s \text{ for at least one } s \in S\}$

Often,  $S$  is a family of sets. Then we write...

$$\bigcup \{A_i : i \in I\} \qquad \bigcup \{A_i\}_{i \in I} \qquad \bigcup_{i \in I} A_i$$

$$\bigcap \{A_i : i \in I\} \qquad \bigcap \{A_i\}_{i \in I} \qquad \bigcap_{i \in I} A_i$$

When the index set is infinite, strange things can happen:

$$\{A_i\}_{i \in \mathbb{N}^+} \text{ with } A_i = \left\{ q \in \mathbb{Q} : 0 \leq q \leq 1 - \frac{1}{i} \right\}$$

$$\bigcup_{i \in \mathbb{N}^+} A_i$$



- (a) What is the biggest number in each  $A_i$ ?
- (b) What is the biggest number in their union?

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## power sets

The power set of a set  $A$  is the set of all its subsets.  $\mathcal{P}(A) = \{s : s \subseteq A\} = 2^A$   
alternative syntax

$$\mathcal{P}(\emptyset) = \{\emptyset\}$$

$$\mathcal{P}(\{a\}) = \{\emptyset, \{a\}\}$$

$$\mathcal{P}(\{a, b\}) = \{\emptyset, \{a\}, \{b\}, \{a, b\}\}$$

$$\mathcal{P}(\{a, b, c\}) = \{\emptyset, \{a\}, \{b\}, \{c\}, \{a, b\}, \{a, c\}, \{b, c\}, \{a, b, c\}\}$$

Some properties:

$$\emptyset \in \mathcal{P}(A)$$

$$A \in \mathcal{P}(A)$$

$$\#(\mathcal{P}(A)) = 2^{\#(A)} \quad ? \text{ Why is that?}$$

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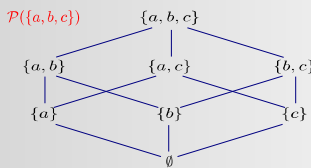
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## structure of power sets

Power sets have a peculiar structure with respect to inclusion:



This is a Hasse diagram of the inclusion relation on a power set. We will come back to this when we talk about relations.

A connection means that the upper set properly includes the lower one.

Implied connections are omitted.

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